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Electronic Filing System (EFS) Data
Electronic Patent Application Submission
USPTO Use Only

EFS ID: 58637
Application ID: 09885817
Title of Invention: METHODS AND SYSTEMS FOR
IMPLEMENTING A FORWARD
CONVERSION SECURITIES
STRATEGY
First Named Inventor: Shayne Young
Domestic/Foreign Application: Domestic Application
Filing Date: 2001-06-19
Effective Receipt Date: 2004-04-07
Submission Type: Information Disclosure
Statement
Filing Type:
Confirmation number: 4380
Attorney Docket Number: 5226-01600



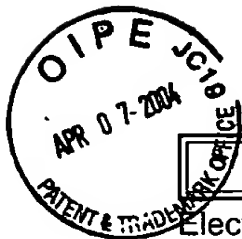
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APR 13 2004

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Total Fees Authorized:




Digital Certificate Holder: cn=Eric B. Meyertons,ou=Registered Attorneys,ou=Patent and Trademark
Office,ou=Department of Commerce,o=U.S. Government,c=US
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Electronic Version v1.1

Stylesheet Version v1.1.0

Title of Invention	METHODS AND SYSTEMS FOR IMPLEMENTING A FORWARD CONVERSION SECURITIES STRATEGY																																				
<table border="0"><tr><td>Application Number:</td><td>09/885817</td><td></td><td rowspan="4">RECEIVED APR 13 2004 GROUP 3800</td></tr><tr><td>Date:</td><td>2001-06-19</td><td></td></tr><tr><td>First Named Applicant:</td><td>Shayne Young</td><td></td></tr><tr><td>Confirmation Number:</td><td>4380</td><td></td></tr><tr><td colspan="3">Attorney Docket Number: 5226-01600</td><td></td></tr><tr><td colspan="4"><p>I hereby certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.</p><p>I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.</p><table border="1"><thead><tr><th>Submitted by:</th><th>Elec. Sign.</th><th>Sign. Capacity</th></tr></thead><tbody><tr><td>Eric B Meyertons Registered Number: 34876</td><td>Eric B Meyertons</td><td>Attorney</td></tr></tbody></table></td></tr><tr><td colspan="2">Documents being submitted us-ids</td><td>Files 5226-Feb2004-usidst.xml us-ids.dtd us-ids.xsl</td><td></td></tr><tr><td colspan="4">Comments</td></tr></table>			Application Number:	09/885817		RECEIVED APR 13 2004 GROUP 3800	Date:	2001-06-19		First Named Applicant:	Shayne Young		Confirmation Number:	4380		Attorney Docket Number: 5226-01600				<p>I hereby certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.</p> <p>I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.</p> <table border="1"><thead><tr><th>Submitted by:</th><th>Elec. Sign.</th><th>Sign. Capacity</th></tr></thead><tbody><tr><td>Eric B Meyertons Registered Number: 34876</td><td>Eric B Meyertons</td><td>Attorney</td></tr></tbody></table>				Submitted by:	Elec. Sign.	Sign. Capacity	Eric B Meyertons Registered Number: 34876	Eric B Meyertons	Attorney	Documents being submitted us-ids		Files 5226-Feb2004-usidst.xml us-ids.dtd us-ids.xsl		Comments			
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Documents being submitted us-ids		Files 5226-Feb2004-usidst.xml us-ids.dtd us-ids.xsl																																			
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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of
Invention

METHODS AND SYSTEMS FOR IMPLEMENTING A
FORWARD CONVERSION SECURITIES STRATEGY

Application Number: 09/885817
Confirmation Number: 4380
First Named Applicant: Shayne Young
Attorney Docket Number: 5226-01600
Art Unit: 2182
Examiner: Unknown Unknown
Search string: (5809483 or 5946666 or 6401105 or 6408282
or 6510419 or 20020188548 or 20020178099 or
20020194111 or 20030065598 or 20030083974
or 20030041006).pn.



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US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	5809483	1998-09-15	Broka et al.			
	2	5946666	1999-08-31	Nevo et al.			
	3	6401105	2002-06-04	Carlin et al.			
	4	6408282	2002-06-18	Buist			
	5	6510419	2003-01-21	Gatto			

US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
	1	20020188548	2002-09-15	Bunda	A1		
	2	20020178099	2002-11-28	Young	A1		
	3	20020194111	2002-12-19	Young	A1		
	4	20030065598	2003-04-03	Bunda	A1		
	5	20030083974	2003-05-01	Bunda	A1		

	6	20030041006	2003-02-27	Bunda	A1
Signature					
Examiner Name			Date		